



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/916,635 | 07/27/2001 | Paul G. Allen | 4000.2.44 |

MADSON & METCALF
ATTORNEYS AT LAW
GATEWAY TOWER WEST
15 WEST SOUTH TEMPLE, SUITE 900
SALT LAKE CITY, UT 84101



CONFIRMATION NO. 1204

FORMALITIES LETTER



OC000000006509340

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Date Mailed: 09/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

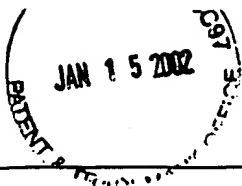
- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$852.
 - \$612 for 34 total claims over 20.
 - \$240 for 3 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1692.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/08/2002 SSALLEKU 00000003 13063 09916635
01 FC:103 18.00 CH
01/22/2002 AG0110M 00000070 09916635
01 FC:101 740.00 OP
02 FC:105 130.00 OP
03 FC:103 594.00 OP
04 FC:102 258.00 OP

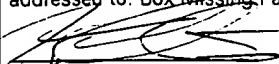


7
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Use of copy 3

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on November 5, 2001.


Attorney for Applicants

PATENT

Docket No. 4000.2.44

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Paul G. Allen et al.)
Serial No.: 09/916,635)
Filed: July 27, 2001) Group Art
For: REMOTE CONTROL DEVICE WITH INTEGRATED) Unit: 2614
DISPLAY SCREEN FOR CONTROLLING A)
DIGITAL VIDEO RECORDER)

TRANSMITTAL OF RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION (FILING DATE GRANTED)

Assistant Commissioner
for Patents
Washington, D.C. 20231

Attention: Box Missing Parts

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed September 4, 2001, enclosed please find the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- (1) a Declaration, Power of Attorney, and Petition executed by the inventors Paul G. Allen, and James A Billmaier;
- (2) an Assignment with corresponding Recordation Cover Sheet;
- (3) a Fee Transmittal Form;

- (4) a Credit Card Payment form in the amount of \$1716.00 to cover the small entity filing fee for the above-identified application; and the small entity surcharge fee pursuant to 37 C.F.R. § 1.16(e);
- (5) a Credit Card Payment Form in the amount of \$40.00 for the Recordation of Assignment; and
- (6) a copy of the Notice to File Missing Parts of Application - Filing Date Granted.

Please debit any additional fees, or credit any over payment, to Deposit Account No. 13-0763. A duplicate copy of this sheet is enclosed.

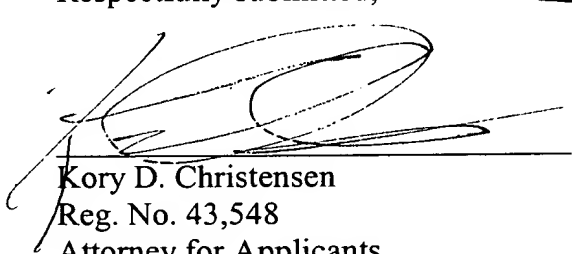
The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or to credit any overpayment to Deposit Account No. 13-0763. A duplicate copy of this sheet is enclosed.

- x Any additional filing fees required under 37 C.F.R. § 1.16.
- x Any patent application processing fees under 37 C.F.R. § 1.17.
- x Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

Please address all future correspondence in connection with this application to the attention of the undersigned.

Respectfully submitted,

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Kory D. Christensen
Reg. No. 43,548
Attorney for Applicants

Date: November 5, 2001

MADSON & METCALF
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15 West South Temple
Salt Lake City, Utah 84101
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JAN 15 2002

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

Complete If Known

Application Number 09/916,635

Filing Date July 27, 2001

First Named Inventor Paul G. Allen

Group Art Unit 2614

Examiner Name

TOTAL AMOUNT OF PAYMENT 1756.00

Attorney Docket Number 4000.2.44

COPY OF PAPER

METHOD OF PAYMENT (check one)

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 13-0763

Deposit Account Name MADSON & METCALF

- ☒
- Charge Any Additional Fee Required
-
- Under 37 CFR 1.16 and 1.17

- ☐
- Applicant claims small entity status.
-
- See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☐ Check ☒ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. FILING FEE**

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|----------|----------|----------|----------|------------------------|----------|
| 101 | 740 | 201 | 370 | Utility filing fee | 740 |
| 106 | 330 | 206 | 165 | Design filing fee | |
| 107 | 510 | 207 | 255 | Plant filing fee | |
| 108 | 740 | 208 | 370 | Reissue filing fee | |
| 114 | 160 | 214 | 80 | Provisional filing fee | |

SUBTOTAL (1)

740

2. CLAIMS

| | Extra | Fee from below | Fee Paid |
|-------------------------|-------|----------------|----------|
| Total Claims 53 -20** = | 33 | x 18 = | 594 |
| Ind. Claims 6 -3** = | 3 | x 84 = | 252 |
| Multiple Dep. Claims | 0 | x 140 = | 0 |

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
|----------|----------|----------|----------|---|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 |
| 104 | 280 | 204 | 140 | Multiple dependent claim |
| 109 | 84 | 209 | 42 | **Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | **Reissue claims in excess of 20 and over original patent |

**or number previously paid, if greater; For Reissues, see above

SUBTOTAL (2)

846

3. ADDITIONAL FEES

Large Entity

Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|----------|----------|----------|----------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | 130 |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2520 | 147 | 2520 | For filing a request for reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1840* | 113 | 1840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 400 | 216 | 200 | Extension for reply within second month | |
| 117 | 920 | 217 | 460 | Extension for reply within third month | |
| 118 | 1440 | 218 | 720 | Extension for reply within fourth month | |
| 128 | 1960 | 228 | 980 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1510 | 138 | 1510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - intentional | |
| 141 | 1240 | 241 | 320 | Petition to revive - unintentional | |
| 142 | 1240 | 242 | 620 | Utility issue fee | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 240 | 126 | 240 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | 40 |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee

SUBTOTAL (3)

170

SUBMITTED BY

Typed or Printed Name Kory D. Christensen

Signature

Date

Nov 5, 2001

Complete (if applicable)

Reg. Number 43,548

Deposit Account User ID

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.